

**BAKER BOTTS, LLP**

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<b>TO</b>	<b>Mail Stop Petitions</b>		
<b>FIRM/COMPANY</b>	<b>U.S. Patent and Trademark Office</b>	<b>FAX NO.</b>	<b>571-273-8300</b>
<b>FROM</b>	<b>Anthony T. Smith, Paralegal</b>	<b>VOICE NO.</b>	<b>571-272-3282</b>
<b>RETURN TO</b>	<b>Anthony T. Smith, Paralegal</b>	<b>FAX NO.</b>	<b>214.953.6713</b>
<b>MESSAGE</b>		<b>DATE</b>	<b>April 10, 2006</b>
		<b>PAGES (including cover)</b>	<b>25</b>

**MESSAGE**

In re Application of: Dale S. Howard et al  
 Serial No.: 09/456,647  
 Filed: December 8, 1999  
 Title: **Electronic Publication Distribution Method and System**  
 Attorney Docket No. 014208.1386 (35-95-010.1)

This facsimile cover sheet is accompanied by a letter, with enclosures.

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this cover sheet and the accompanying letter with enclosures are being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Signature: Anthony T. Smith  
 Anthony T. Smith, Paralegal

Date: 4/10/2006

**Notice of Confidentiality**

The information contained in and transmitted with this facsimile is: 1. Subject to the Attorney-client Privilege; 2. Attorney Work Product; or 3. Confidential. I intended only for the individual or entity designated above. You are hereby notified that any dissemination, distribution, copying, or use of or reliance upon information contained in and transmitted with this facsimile by or to anyone other than the recipient designated above by the sender is unauthorized and strictly prohibited. If you have received this facsimile in error, please notify Baker Botts L.L.P. by telephone at 214.953.6740 immediately. Any facsimile erroneous transmitted to you should be immediately returned to the sender by U.S. Mail or, if authorization is granted by the sender, destroyed.

If you do not receive all pages, please call: 214.953.6566 or 214.953.6740 for assistance.

BILLING NO. 014208.1386

ATTORNEY/EMPLOYEE NO. 05098

CRITICAL DEADLINE, SEND BY ASAP

DAL01:900470.1

PAGE 1/25 \* RCVD AT 4/10/2006 6:03:50 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-5/6 \* DNIS:2738300 \* CSID:2149536503 \* DURATION (mm:ss):17-58

ATTORNEY DOCKET NO.  
014208.1386 (35-95-010.1)

APR 10 2006

PATENT  
09/456,647

1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Dale S. Howard, et al.
Serial No.:	09/456,647
Filing Date:	December 8, 1999
Examiner:	Romain Jeanty
Art Unit:	3623
Confirmation No.:	6729
Title:	<b>ELECTRONIC PUBLICATION DISTRIBUTION METHOD AND SYSTEM</b>

**VIA FACSIMILE:**  
571-273-8300

**Mail Stop Petition**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

**SECOND NON-FEE PETITION UNDER 37 C.F.R. § 1.181 TO WITHDRAW  
HOLDING OF ABANDONMENT AND RE-MAIL NOTICE OF ALLOWANCE**

This second petition is filed in response to the Office of Petitions' dismissal of Applicants' first Petition under 37 C.F.R. § 1.181 filed February 14, 2006. On March 13, 2006, Applicants received notification from the Office of Petitions of the U.S. Patent and Trademark Office that the holding of abandonment for the above-referenced patent application stands for failure to file a timely and proper reply to the Notices of Allowance and Issue Fee Due mailed January 10, 2005 and August 17, 2005 both of which set a three-month period for reply. This second Petition under 37 C.F.R. § 1.181 is to again request that the holding of abandonment be withdrawn. As indicated in the attached Statement of Facts, Applicants never received the Notices of Allowance and, therefore, did not respond by the respective due dates of April 10, 2005 and November 17, 2005. Accordingly, the holding of abandonment is improper pursuant to M.P.E.P. § 711.03(c)(II).

DAL01:900402.1

ATTORNEY DOCKET NO.  
014208.1386 (35-95-010.1)

PATENT  
09/456,647

2

In the Office of Petitions' notification of dismissal, Petitions Attorney Charlene R. Grant asked Petitioner to provide additional evidence that the Change of Correspondence Address filed November 4, 2005 whereby the change was from Baker Botts L.L.P., 2001 Ross Avenue, Suite 600, Dallas, Texas 75201 to Baker Botts L.L.P., 2001 Ross Avenue, 6th Floor, Dallas, Texas 75201 had no effect on the failure to receive the Notice of Allowance.

Petitioner respectfully submits that said change from "Suite 600" to "6th Floor" had no effect on the failure to receive the Notice of Allowance as PTO mail has been successfully received by Baker Botts L.L.P. under both the "Suite 600" designation and the "6th Floor" designation before and after the Change of Correspondence Address filed November 4, 2005. Accordingly, Petitioner provides the following documents as additional evidence:

1. Statement of Facts in Support of Petition to Withdraw Holding of Abandonment.
2. Copy of cover page of a Notice of Missing Parts Receipt received from the PTO on January 12, 2005 with the "6th Floor" designation in the address. (Exhibit A)
3. Copy of cover page of an Office Action received from the PTO on January 12, 2005 with the "Suite 600" designation in the address. (Exhibit B)
4. Copy of cover page of a Filing Receipt received from the PTO on February 10, 2005 with the "Suite 600" designation in the address. (Exhibit C)
5. Copy of cover page of a Filing Receipt received from the PTO on February 17, 2005 with the "6th Floor" designation in the address. (Exhibit D)
6. Copy of cover page of a Filing Receipt received from the PTO on March 3, 2005 with the "6th Floor" designation in the address. (Exhibit E)
7. Copy of cover page of an Office Action received from the PTO on March 18, 2005 with the "Suite 600" designation in the address. (Exhibit F)
8. Copy of cover page of a Filing Receipt received from the PTO on April 1, 2005 with the "6th Floor" designation in the address. (Exhibit G)

DAL01:900402.1

ATTORNEY DOCKET NO.  
014208.1386 (35-95-010.1)

PATENT  
09/456,647

3

9. Copy of cover page of an Assignment received from the PTO on July 21, 2005 with the "Suite 600" designation in the address.  
(Exhibit H)
10. Copy of cover page of a Filing Receipt received from the PTO on August 2, 2005 with the "6th Floor" designation in the address.  
(Exhibit I)
11. Copy of cover page of an Assignment received from the PTO on August 11, 2005 with the "Suite 600" designation in the address.  
(Exhibit J)
12. Copy of cover page of a Filing Receipt received from the PTO on September 22, 2005 with the "6th Floor" designation in the address.  
(Exhibit K)
13. Copy of cover page of a Filing Receipt received from the PTO on October 18, 2005 with the "6th Floor" designation in the address.  
(Exhibit L)
14. Copy of cover page of an Assignment received from the PTO on November 17, 2005 with the "Suite 600" designation in the address.  
(Exhibit M)
15. Copy of cover page of an Election/Restriction received from the PTO on January 9, 2006 with the "Suite 600" designation in the address.  
(Exhibit N)
16. Copy of a Status Letter Reply received from the PTO on March 16, 2006 with the "Suite 600" designation in the address.  
(Exhibit O)
17. Copy of the Office of Petitions' decision to dismiss received from the PTO on March 13, 2006 with the "6th Floor" designation in the address.  
(Exhibit P)
18. Copy of cover page of a Notice of Panel Decision received from the PTO on March 28, 2006 with the "6th Floor" designation in the address.  
(Exhibit Q)

DAL01:900402.1

ATTORNEY DOCKET NO.  
014208.1386 (35-95-010.1)

PATENT  
09/436,647

4

Petitioner respectfully submits that Exhibits A-Q prove that PTO mail was received under both mail address designations from January 2005 through March 2006, a time period that spans before and after the November 4, 2005 filing date of the Change of Correspondence Address.

As the abandonment of this case was through no fault of Applicants, it is respectfully requested that a new Notice of Allowance be mailed and the date for response to be three months from the mail date of the new Notice of Allowance, pursuant to M.P.E.P. § 711.03(c)(2).

It is believed that no petition fees are due. However, if it is determined that a petition fee or any additional fees are due, please charge these fees to Deposit Account No. 05-0765 in the name of **Electronic Data Systems Corporation**.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants



David G. Wille  
Reg. No. 38,363

Date: 4/10/06

CORRESPONDENCE ADDRESS:  
**CUSTOMER NO. 35005**

2001 Ross Avenue, Suite 600  
Dallas, Texas 75201  
214.953.6595

DAL01:900402.1

PAGE 5/25 \* RCVD AT 4/10/2006 6:03:50 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-5/6 \* DWS:2738300 \* CSID:2149536503 \* DURATION (mm:ss):17-58

Attorney's Docket:  
014208.1386 (35-95-010.1)

APR 10 2006

PATENT  
09/456,647

1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Dale S. Howard, et al.
Serial No.:	09/456,647
Filing Date:	December 8, 1999
Examiner:	Romain Jeanty
Art Unit:	3623
Confirmation No.:	6729
Title:	<b>ELECTRONIC PUBLICATION DISTRIBUTION METHOD AND SYSTEM</b>

**VIA FACSIMILE:**  
571-273-8300

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

**STATEMENT OF FACTS IN SUPPORT OF  
SECOND NON-FEE PETITION UNDER 37 C.F.R. § 1.181 TO WITHDRAW  
HOLDING OF ABANDONMENT AND RE-MAIL NOTICE OF ALLOWANCE**

I, Willie Jiles, am a mail services clerk that has been employed by Baker Botts L.L.P. located in Dallas, Texas since August 21, 1995. I attest to the fact that throughout the relevant time period (all of 2005 and to the present) Baker Botts L.L.P. receives mail from the United States Patent and Trademark Office and other sources under both of the following address designations:

Baker Botts L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980

Baker Botts L.L.P.  
2001 Ross Avenue, 6th Floor  
Dallas, Texas 75201-2980

DAL01:900465.1

PAGE 6/25 \* RCVD AT 4/10/2006 6:03:50 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-516 \* DNI:2738300 \* CSID:2149536503 \* DURATION (mm:ss):17-58

Attorney's Docket:  
014208.1386 (35-95-010.1)

PATENT  
09/456,647

2

Both of these addresses are and have been proper addresses for the Dallas Office of Baker Botts L.L.P.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application for any patent issuing thereon.

*Willie Jiles*

Willie Jiles  
Baker Botts L.L.P. Mail Services Clerk

Date: 4/10/2006  
Baker Botts L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980

DAL01:900465.1

PAGE 7/25 \* RCV'D AT 4/10/2006 6:03:50 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-5/6 \* DWS:2738300 \* CSID:2149536503 \* DURATION (mm:ss):17-58

APR 10 2006

Page 1 of 2



## UNITED STATES PATENT AND TRADEMARK OFFICE

## DOCKETED

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231-1414  
Telephone: (703) 305-8000

## APPLICATION NUMBER

10/972,975

## FILING OR 371 (c) DATE

10/25/2004

## FIRST NAMED APPLICANT

Richard W. Korzaniowski

ATTORNEY DOCKET NUMBER  
014208-1680 (72-04-056)

35005

BAKER BOTTS L.L.P.  
2001 ROSS AVENUE, 6TH FLOOR  
DALLAS, TX 75201

*Oath/Declaration  
&  
Filing fees*

*Due: March 12, 2005*

CONFIRMATION NO. 8294

## FORMALITIES LETTER

\*0C00000014940532\*

Date Mailed: 01/22/2005

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION  
FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

## Exhibit "A"

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. *Applicant must submit \$ 790 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing. *A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$920 for a Large Entity

- \$790 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450



UN

## TRADEMARK OFFICE

## DOCKETED

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 Alexandria, VA 22313-1450  
 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/673,985	07/01/1996	DALE S. HOWARD	35-95-010(01)	7687
7590	01/12/2005	Amendment/Response Due: April 12, 2005		
		EXAMINER		
		JEANTY, ROMAIN		
		ART UNIT	PAPER NUMBER	
		3623		
DATE MAILED: 01/12/2005				

Please find below and/or attached an Office communication concerning this application or proceeding.

## Exhibit "B"

SEARCHED	INDEXED	Docket	Wrapper
SERIALIZED	FILED	RVF Docketed	N/A
APR 12 2005		Reference(s)	
USPTO-90C			

APR 10 2006

Page 1 of 2



## UNITED STATES PATENT AND TRADEMARK OFFICE

 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Admin. COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Washington, DC 20590-1450  
 www.uspto.gov

APPL NO.	FILED OR 371 (6) DATE	ART UNIT	FIL. FEE REQD	ATTY/DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
11/022,234	12/22/2004	3624	1850	073897.0166		2	37

05073  
 BAKER BOTTS L.L.P.  
 2001 ROSS AVENUE  
 SUITE 800  
 DALLAS, TX 75201-2980

CONFIRMATION NO. 5987  
 FILING RECEIPT  
 000000015156108\*

## Exhibit "C"

Date Mailed: 02/10/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-8195. Please provide a copy of this Filing Receipt with the any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Sandeep Kumar Gupta, Richmond, VA;

Assignment For Published Patent Application  
CAPITAL ONE FINANCIAL CORPORATION

Power of Attorney: The patent practitioners associated with Customer Number 05073.

Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 02/09/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US11/022,234

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Docket \_\_\_\_\_ Wrapper \_\_\_\_\_  
 RVF Docketed \_\_\_\_\_  
 Reference(s) \_\_\_\_\_



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
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Washington, DC 20231-1450  
www.uspto.gov

APPL. NO.	FILING OR 371 (S) DATE	ART UNIT	FIL. FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLMS	IND CLMS
10/972,975	10/25/2004	2861	920	014208.1680 (72-04-056)	7	20	2

35005  
BAKER BOTTS L.L.P.  
2001 ROSS AVENUE, 6TH FLOOR  
DALLAS, TX 75201

CONFIRMATION NO. 8284  
UPDATED FILING RECEIPT  
CO00000015214119\*

## Exhibit "D"

Date Mailed: 02/17/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply appropriate).

## Applicant(s)

Richard W. Korzeniowski, Canton, MI;

**Power of Attorney:** The patent practitioners associated with Customer Number 35005.

**Domestic Priority data as claimed by applicant**

## Foreign Applications

**If Required, Foreign Filing License Granted:** 01/12/2005

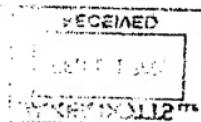
**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/972,975**

**Projected Publication Date:** 04/27/2006

**Non-Publication Request:** No

**Early Publication Request:** No

Title





## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Attention: EXAMINER FOR PATENTS  
P.O. Box 1450  
Washington, Virginia 22312-1450  
Patent Application Processing Center

APPL NO.	FILING OR 371 (C) DATE	ART UNIT	FIL FEE RECD	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
11/019,958	12/20/2004	2131	1130	014208.1686 (72-04-002)	10	20	3

35005  
BAKER BOTTS L.L.P.  
2001 ROSS AVENUE, 8TH FLOOR  
DALLAS, TX 75201

CONFIRMATION NO. 8996  
UPDATED FILING RECEIPT  
0000000015340643

## Exhibit "E"

Date Mailed: 03/03/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Sebastian Giani, Balingen, GERMANY;

**Power of Attorney:** The patent practitioners associated with Customer Number 35005.

**Domestic Priority data as claimed by applicant**

## Foreign Applications

**If Required, Foreign Filing License Granted:** 01/31/2005

**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US11/019,958**

**Projected Publication Date:** 06/22/2006

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**



## UNITED STATES PATENT AND TRADEMARK OFFICE

## DOCKETED

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Washington, DC 20231-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/308,311	12/02/2002	Jimmy B. Esbæk	073813.0107	2313
5073	7590	03/18/2005		
<b>Amendment/Response</b> <i>Due: June 18, 2005</i>				
			EXAMINER	
			BROWN, MICHAEL A	
			ART UNIT	PAPER NUMBER
			3704	

DATE MAILED: 03/18/2005

## Exhibit "F"

Please find below and/or attached an Office communication concerning this application or proceeding.





## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Commissioner for Patents  
P.O. Box 1450  
Washington, DC 20231-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (C) DATE	ART UNIT	FIL FEE RECD	ATTY/DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
11/039,389	01/19/2005	2171	1330	014208.1692 (82-04-006)	5	20	4

35005  
BAKER BOTTS L.L.P.  
2001 ROSS AVENUE, 6TH FLOOR  
DALLAS, TX 75201

CONFIRMATION NO. 1087  
UPDATED FILING RECEIPT  
>CO00000015634616\*

## Exhibit "G"

Date Mailed: 04/01/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME of APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Sanjay P. Lobo, Allen, TX;

**Power of Attorney:** The patent practitioners associated with Customer Number 35005.

**Domestic Priority data as claimed by applicant**

## Foreign Applications

**If Required, Foreign Filing License Granted:** 02/26/2005

**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US11/039,389**

**Projected Publication Date:** 07/20/2006

**Non-Publication Request:** No

**Early Publication Request:** No

## Title

Enterprise digital asset management system and method

RECEIVED  
CENTRAL FAX CENTER

APR 10 2006



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 21, 2005

PTAS

\*102950586A\*

DAVID G. WILLE, ESQ.  
BAKER BOTTS L.L.P.  
2001 ROSS AVENUE, SUITE 600  
DALLAS, TEXAS 75201-2980

Exhibit "H"

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/21/2005

REEL/FRAME: 016294/0130  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GLANIA, SEBASTIAN (NMI)

DOC DATE: 12/17/2004

ASSIGNEE:

ELECTRONIC DATA SYSTEMS  
CORPORATION  
5400 LEGACY DRIVE, H3-3A-05  
PLANO, TEXAS 75024

SERIAL NUMBER: 11019958

FILING DATE: 12/20/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: METHOD AND SYSTEM FOR NETWORK INTRUSION PREVENTION

P.O. Box 1450, Alexandria, Virginia 22313-1450 - [www.uspto.gov](http://www.uspto.gov)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
ADDRESS: 401 MAILED: 04/10/2006  
P.O. Box 1450  
Washington, DC 20231-1450  
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APPL. NO.	FLING OR 371 (C) DATE	ART. UNIT	FIL. FEE REC'D	ATTY/DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
11/057,103	02/11/2005	2181	1130	014208.1696 (72-05-001)	7	20	3

35005  
BAKER BOTTS L.L.P.  
2001 ROSS AVENUE, 8TH FLOOR  
DALLAS, TX 75201

CONFIRMATION NO. 1690  
UPDATED FILING RECEIPT  
C000000016673862\*

## Exhibit "I"

Date Mailed: 08/02/2006

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## Applicant(s)

Srinath S. Chakravarty, Dallas, TX;

**Power of Attorney:** The patent practitioners associated with Customer Number 35005.

**Domestic Priority data as claimed by applicant**

## Foreign Applications

**If Required, Foreign Filing License Granted:** 06/07/2005

**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US11/057,103**

**Projected Publication Date:** 08/17/2006

**Non-Publication Request:** No

**Early Publication Request:** No

## Title

Non-invasive method and system for automated administration of diverse security constrained

APR 10 2006



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 11, 2005

PTAS

#10296807A\*

DAVID G. WILLE, ESQ.  
BAKER BOTTS L.L.P.  
2001 ROSS AVENUE, SUITE 600  
DALLAS, TX 75201-2980

Exhibit "J"

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RECORDATION DATE: 03/21/2005

REEL/FRAME: 016389/0614  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LOBO, SANJAY P.

DOC DATE: 01/17/2005

ASSIGNEE:

ELECTRONIC DATA SYSTEMS  
CORPORATION  
5400 LEGACY DRIVE, H3-3A-05  
PLANO, TEXAS 75024

SERIAL NUMBER: 11039389

FILING DATE: 01/19/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: ENTERPRISE DIGITAL ASSET MANAGEMENT SYSTEM AND METHOD

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.uspto.gov



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Advisory Committee for Patients  
P.O. Box 1450  
Washington, D.C. 20233-1450  
[www.uspto.gov/acip](http://www.uspto.gov/acip)

APPL NO.	FILING OR 571 (C) DATE	ART UNIT	FIL FEE RECD	ATTY/DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
11/219,031	09/01/2005	2181	2450	014208.1694 (82-04-012)		2	49

35005  
BAKER BOTTS L.L.P.  
2001 ROSS AVENUE, 6TH FLOOR  
DALLAS, TX 75201

CONFIRMATION NO. 2310  
FILING RECEIPT

\*0000000017084995\*

## Exhibit "K"

Date Mailed: 09/22/2005

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## Applicant(s)

Fred A. Cummins, Farmington Hills, MI;

**Power of Attorney:** The patent practitioners associated with Customer Number 35005.

**Domestic Priority data as claimed by applicant**

## Foreign Applications

**If Required, Foreign Filing License Granted:** 09/20/2005

**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US11/219,031**

**Projected Publication Date:** 03/01/2007

**Non-Publication Request:** No

**Early Publication Request:** No

## Title

System, method, and software for implementing business rules in an entity



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APPL. NO.	FILING OR 371 (G) DATE	ART UNIT	FIL. FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
11/238,420	09/28/2005	2161	1000	014208.1688 (79-04-012)	2	20	3

35005  
BAKER BOTTS L.L.P.  
2001 ROSS AVENUE, 6TH FLOOR  
DALLAS, TX 75201

CONFIRMATION NO. 1092  
FILING RECEIPT  
DC000000017274262\*

## Exhibit "L"

Date Mailed: 10/18/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450. If an Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Wolfgang W. Stay, Hohenstein, GERMANY;  
Frank Becker, Russelsheim, GERMANY;

## Assignment For Published Patent Application

Electronic Data Systems Corporation

Power of Attorney: The patent practitioners associated with Customer Number 38005.

## Domestic Priority data as claimed by applicant

This appln claims benefit of 60/615,014 10/01/2004

## Foreign Applications

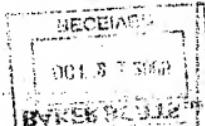
If Required, Foreign Filing License Granted: 10/18/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US11/238,420**.

Projected Publication Date: 04/08/2006

Non-Publication Request: No

Early Publication Request: No





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NOVEMBER 17, 2005

PTAS

\*103047474A\*

DAVID G. WILLE, ESQ.  
BAKER BOTTS L.L.P.  
2001 ROSS AVENUE, SUITE 600  
DALLAS, TEXAS 75201-2980

Exhibit "M"

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PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
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CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/19/2005

REEL/FRAME: 016791/0549  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:

CHAKRAVARTY, SRINATH S.

DOC DATE: 02/10/2005

ASSIGNEE:

ELECTRONIC DATA SYSTEMS  
CORPORATION  
5400 LEGACY DRIVE, H3-3A-05  
PLANO, TEXAS 75024

SERIAL NUMBER: 11057103

FILING DATE: 02/11/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: NON-INVASIVE METHOD AND SYSTEM FOR AUTOMATED ADMINISTRATION OF  
DIVERSE SECURITY CONSTRAINED SERVERS

P.O. Box 1450, Alexandria, Virginia 22313-1450 - [www.uspto.gov](http://www.uspto.gov)

VALID: 03/12/2011



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/243,229	09/13/2002	Robert Cormons	063170.6965	566
5073	7590	01/09/2005	EXAMINER	
DOCKETED				
CERVETTI, DAVID GARCIA				
Response to Electrical Restriction Requirement			ART UNIT	PAPER NUMBER
			2136	

Please find below and/or attached an Office communication concerning this application or proceeding.

**Exhibit "N"**

Docket \_\_\_\_\_ Wrapper \_\_\_\_\_  
RVF Docketed \_\_\_\_\_  
Reference(s) \_\_\_\_\_

## EW/E Deckated

BVE Docketed

**BVE Docketed**

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## • Language

Reference(s) \_\_\_\_\_

UNITED STATES PATENT AND TRADEMARK OFFICE075234-0137

UNITED STATES DEPARTMENT OF COMMERCE  
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Alvaro S. Rios  
Alvaro S. Rios  
Alvaro S. Rios  
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**COPY**

BAKER BOTTS L.L.P.  
2001 ROSS AVENUE  
SUITE 600  
DALLAS, TX 75201-2980

DATE: 03/16/2006

To: Applicant of Serial Number 11021848  
Filed on 22-DEC-2004  
(Art Unit 3713)

It is estimated that this application will receive an Office action in approximately 40 months. This is an estimate that is based on the current inventory level of applications filed in this art area and the current staffing levels in this Art Unit. The USPTO is dedicated to minimizing first action and total pendency, and we are targeting resources to help address backlogs in art areas with high new application filings. Thank you for your inquiry.

**Exhibit "O"**

Customer Service Office in Technology Center: 3700  
Phone Number: 571-272-3700  
Central Fax Number: 571-273-8300

Applicant/Attorney Contact Information:  
Phone Number:  
Fax Number:

PTOL-FA1 (Rev. 07/05)



DOCKETED

04208-1386

Commissioner for Patents  
 United States Patent and Trademark Office  
 P.O. Box 1450  
 Alexandria, VA 22313-1450  
 www.uspto.gov

Response

Due: May 13, 2006  
 (No Extensions Available)

BAKER BOTTS L.L.P.  
 2001 ROSS AVENUE, 6TH FLOOR  
 DALLAS TX 75201

In re Application of  
 Howard et al.  
 Application No. 09/456,847  
 Filed: December 8, 1999  
 Attorney Docket No. 35-95-010.1

Exhibit "P"

COPY MAILED  
 MAR 13 2006  
 OFFICE OF PETITIONS

ON PETITION

This is a decision on the petition under 37 CFR 1.181 to withdraw the holding of abandonment, filed February 14, 2006.

The petition to withdraw the holding of abandonment is Dismissed.

Any request for reconsideration should be filed within **TWO MONTHS** of the mailing date of this decision in order to be considered timely. 37 CFR 1.181(f). This time period may not be extended pursuant to 37 CFR 1.136.

This application became abandoned for failure to timely submit the issue fee, as required by the Notice of Allowance and Fee (s) Due, and submit corrected drawings, as required by the Notice of Allowability both of which were mailed January 10, 2005. The Notice of Allowance and Issue Fee Due and the Notice of Allowability set a three (3) month period for reply. The Notice was returned on January 26, 2005. A second Notice of Allowance and Fee (s) Due, and submit corrected drawings, as required by the Notice of Allowability both of which were mailed on August 17, 2005. Extensions of time were not available under the provisions of 37 CFR §1.136(a). The second Notice of Allowance was returned on August 29, 2005. Accordingly, this application became abandoned on November 18, 2005. This decision precedes the mailing of a Notice of Abandonment.

Petitioner asserts that the Notice of Allowability mailed April 2, 2004 was never received. A review of the record shows the Notice of Allowance and Fee(s) Due was mailed to the correspondence address of record on two occasions. The second time the Notice was returned for "a better address". The record clearly demonstrates that the petitioner did not receive the Notice. However, the record is not clear that the Notice was not received due to an untimely change of correspondence address. It is noted that a change of correspondence address was submitted on November 4, 2005. The only

Application No. 09/456,847

Page 2

change to the correspondence address was from "suite 600" to "6th Floor". The post office returned the correspondence for a "better address". Petitioner should provide additional evidence to establish that the change in correspondence address had no effect on the failure to receive the Notice.

Where an application becomes abandoned as a consequence of a change of correspondence address (the Office action being mailed to the old, uncorrected address and failing to reach the applicant in sufficient time to permit a timely reply) an adequate showing of "unavoidable" delay will require a showing that due care was taken to adhere to the requirement for prompt notification in each concerned application of the change of address (see MPEP § 601.03), and must include an adequate showing that a timely notification of the change of address was filed in the application concerned, and in a manner reasonably calculated to call attention to the fact that it was a notification of a change of address. The lack of notification, or belated notification, to the U.S. Patent and Trademark Office of the change in correspondence address does not constitute unavoidable delay. See MPEP 711.03(c). Nor would the failure to promptly change the correspondence allow for withdrawing the holding of abandonment.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

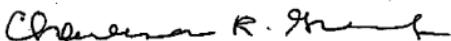
By facsimile:

(571) 273-8300

By delivery service:  
(FedEx, UPS, DHL, etc.)

U.S. Patent and Trademark Office  
Customer Service Window,  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Telephone inquiries concerning this matter should be directed to the undersigned at  
(571) 272-3215.



Charlema R. Grant  
Petitions Attorney  
Office of Petitions



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 Alexandria, Virginia 22313-1450  
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
06/673,986	07/01/1996	DALE S. HOWARD	35-05-010001	7687
35005	7590	03/28/2006	014208-1064	EXAMINER
BAKER BOTTS L.L.P. 2001 ROSS AVENUE, 6TH FLOOR DALLAS, TX 75201			JUANTY, ROMAIN	
			ART UNIT	PAPER NUMBER
			3623	

DATE MAILED: 03/28/2006

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Exhibit "Q"

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